

Material Characterization Techniques

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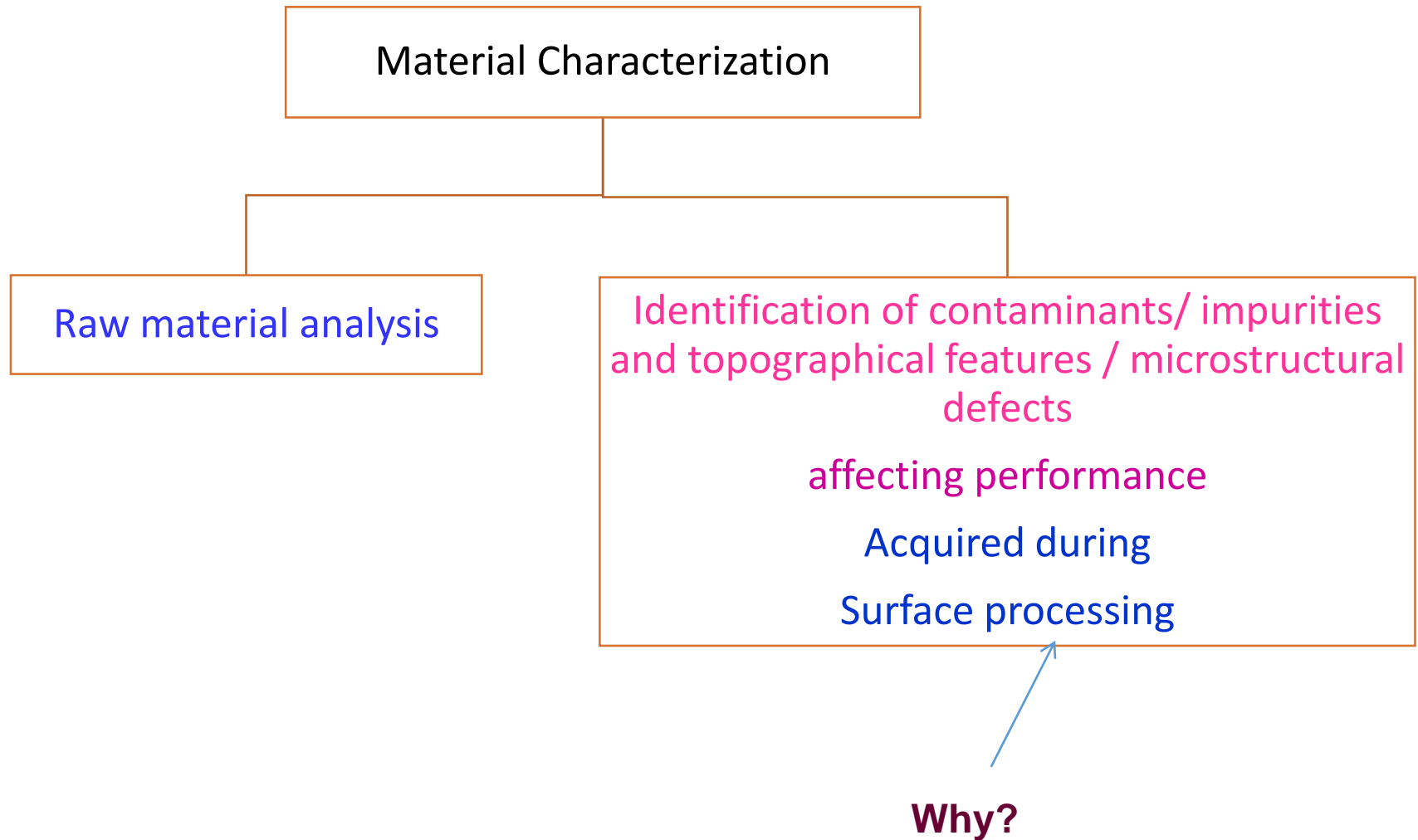
Raja Ramanna Centre for Advanced Technology

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Material Characterization - Definition

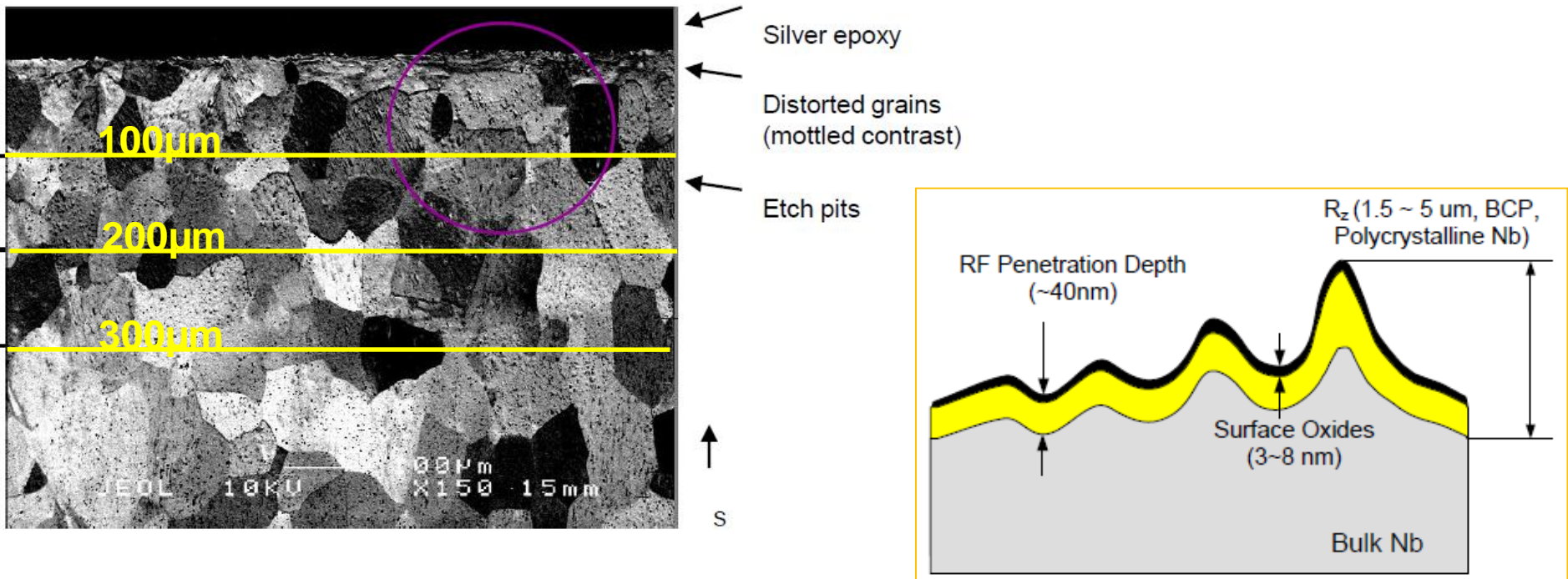
- “Characterization” word describes those features of composition and structure (including defects) of a material that are significant for a particular preparation, study of properties, or use, and suffice for reproduction of the material.
- *Its relevance to SRF cavity Technology.....*

Material characterization for SRF cavity - Categorization



Why Surface processing

1. Surface gets damaged and contaminated during deep drawing operation.
2. RF penetration depth is very shallow.



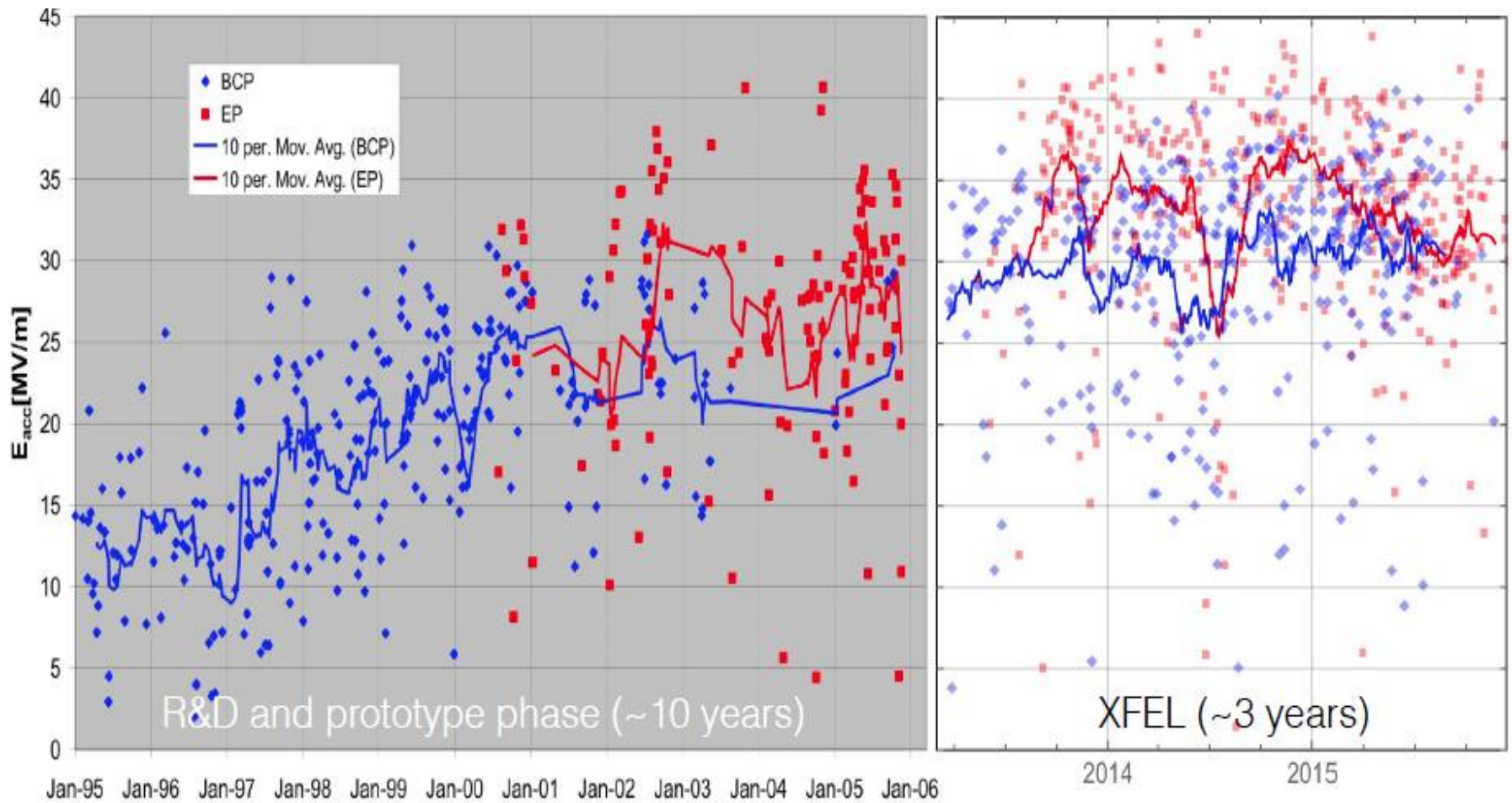
Surface processing - Purpose

- Hence the requirement of **removal of damage layer** through surface processing techniques to **improve the cavity performance**.
- Performance of SRF cavities is defined by two parameters
Quality factor (Q_0) & **Accelerating gradient (E_{acc})**

Quality factor (Q_0) & Accelerating gradient (E_{acc}).

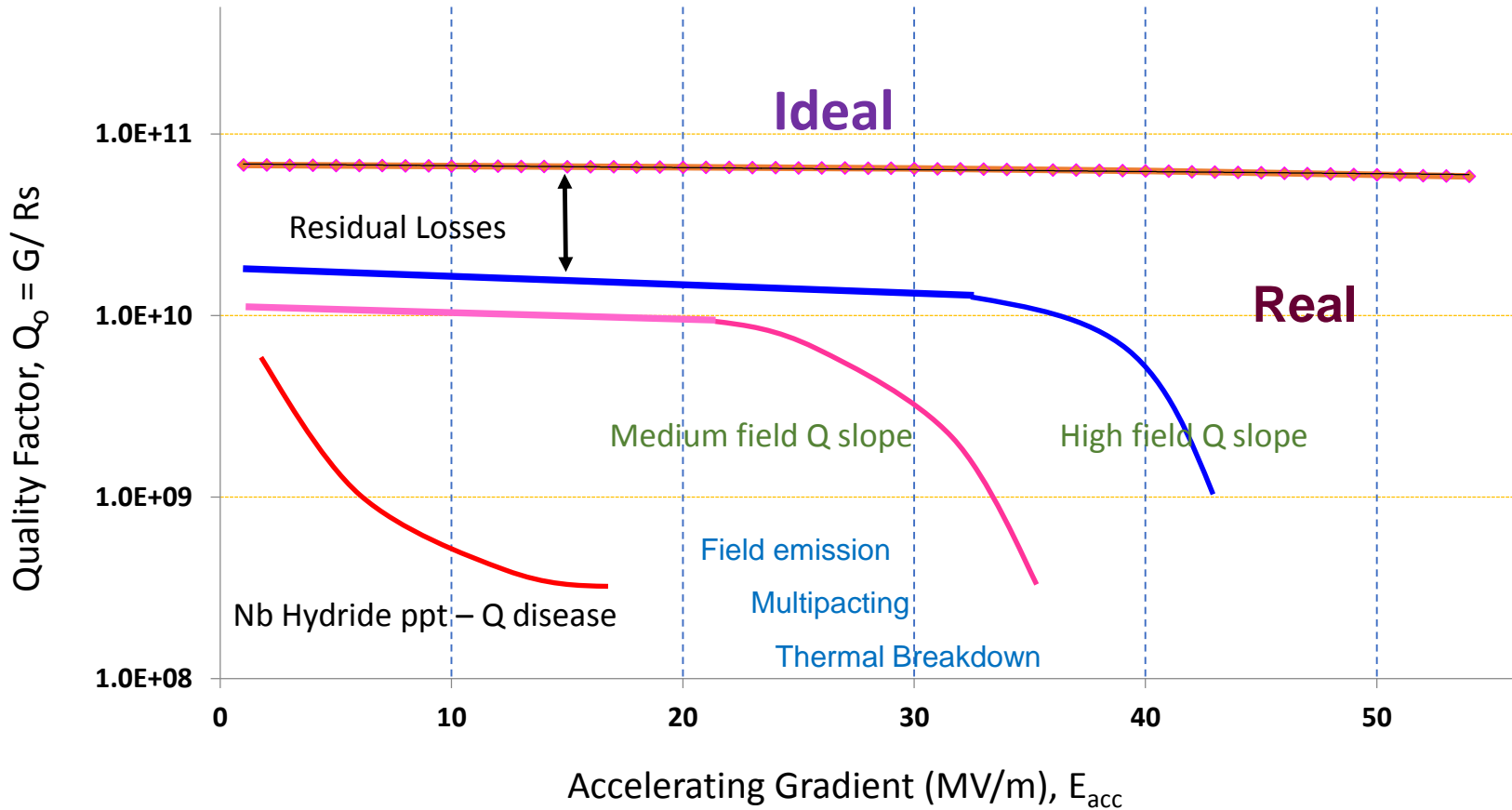
- RF power dissipates through the walls of the cavity due to the existence of a finite surface resistance (R_s).
- $Q_0 = \text{Geometry factor} / R_s$
- Higher the Q_0 lesser is the power consumption. ($R_s = 10\text{n}\Omega$ then $Q = 2.5\text{e}10$)
- R_s depends on many factors and results in strong degradation of Q_0 .
- Hence the target is to minimize R_s .

- Higher acceleration gradient is equivalent to shorter the Superconducting linac structure.
- $E_{acc} = f$ (Critical magnetic field (H_c) of the superconducting Nb)
- $E_{acc} \sim 55\text{MV/m}$
- Since H_c is fixed, all cavities should reach E_{acc} before quenching.
- To target 55MV/mBUT.....



Timeline of XFEL cavity performance at DESY

Ideal vs Real performance



Steady progress can be made after limiting phenomena are understood and effective cures are developed

Sources of limitation for Q (Rs) and Eacc (Hc)

• Impurities

- Surface contaminations like residues, dust, particles, bacteria -leads to FE, MP, residual resistance \uparrow – Limits Q and E
- Sub surface impurities like Oxides - Affects FE, residual resistance \uparrow - Limits Q and E
- Inside penetration depth like acidic impurities, Hydrides, Carbon, Inclusions - Limits Q and E
- Bulk impurities – thermal conductivity \downarrow – Limits E

• Surface topography

- Roughness – Limits E
- Pits, cracks, facets, grain boundary steps etc. – Limits E

• Microstructural defects

- Dislocations, grain boundaries, vacancies –residual resistance \uparrow , hydrogen trapping \uparrow - Limits Q and E

Characterization techniques - categories

- Surface, sub surface contaminations and in depth impurity distribution
 - Secondary ion mass spectrometry (SIMS)
 - X-ray photo electron spectroscopy (XPS/ESCA)
- Topographical and microstructural defects
 - Laser scanning confocal microscopy (LSCM)
 - Scanning and transmission electron microscopy (SEM & TEM)

Secondary ion mass spectrometry

Advantages

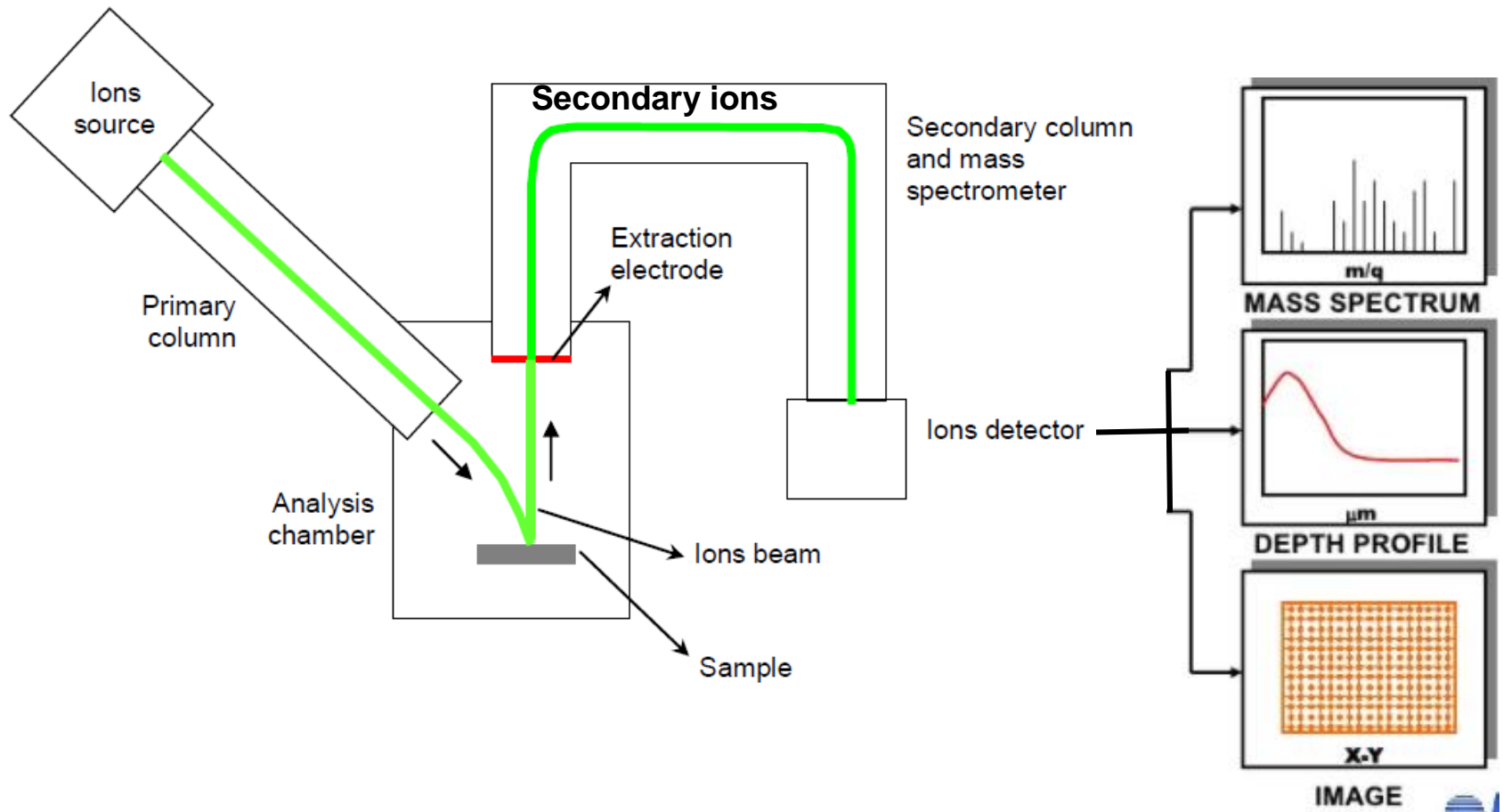
- All elements can be detected.
- Detection limit upto ppm range.
- Very good lateral resolution $\sim 100\text{nm}$
- High depth resolution $\sim 1\text{nm}$
- Parallel detection of all elements at a single spot.

Purpose

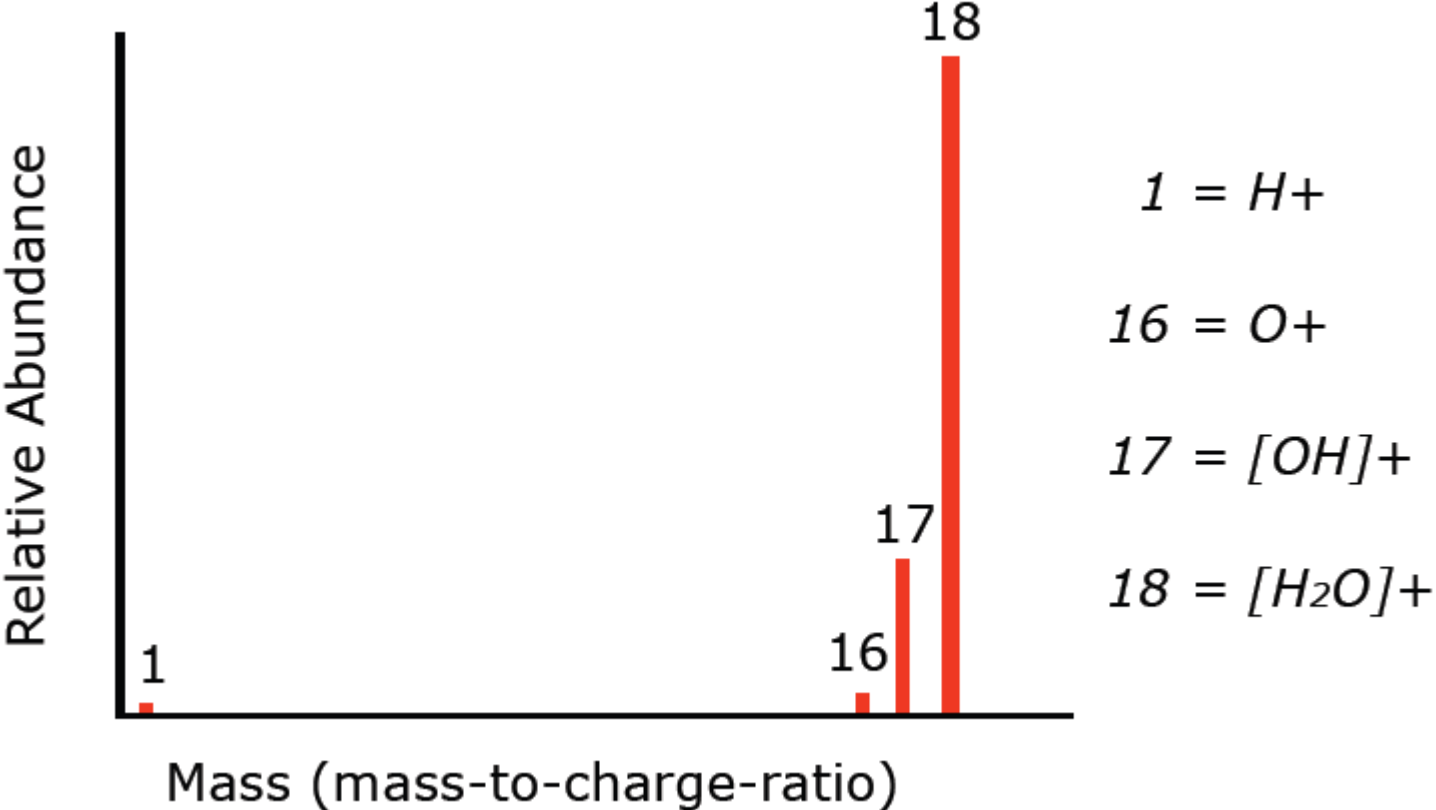
- To develop understanding of impurity distribution near the top layer ($\sim 100 - 200\text{ nm}$) of niobium by 2-D, 3-D ion mapping of the impurities after various processing treatments.



Secondary ion mass spectrometry - Principle



Simple mass spectrum of ice



Processing related impurities - Surface spectroscopy

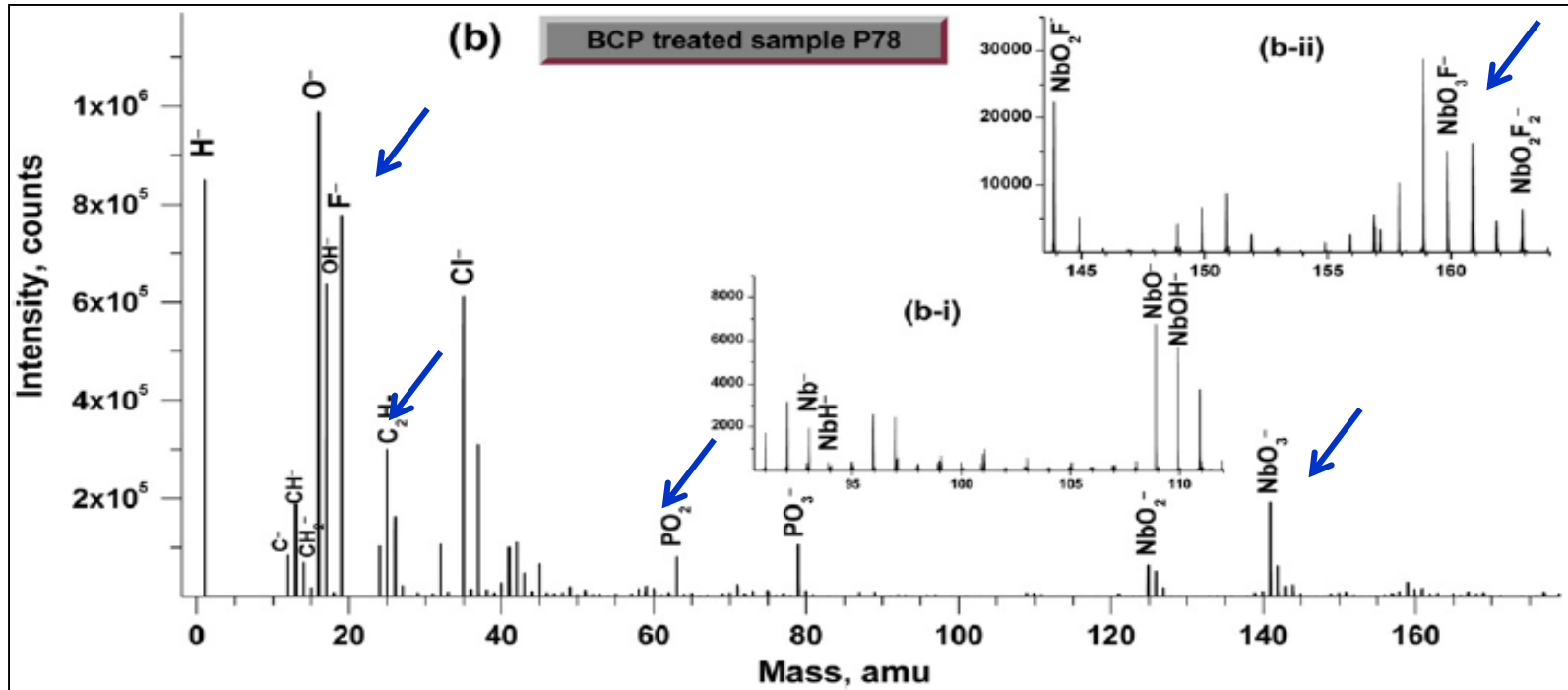


Figure shows mass spectrum of top surface of BCP treated Nb

Processing related impurities - Surface imaging

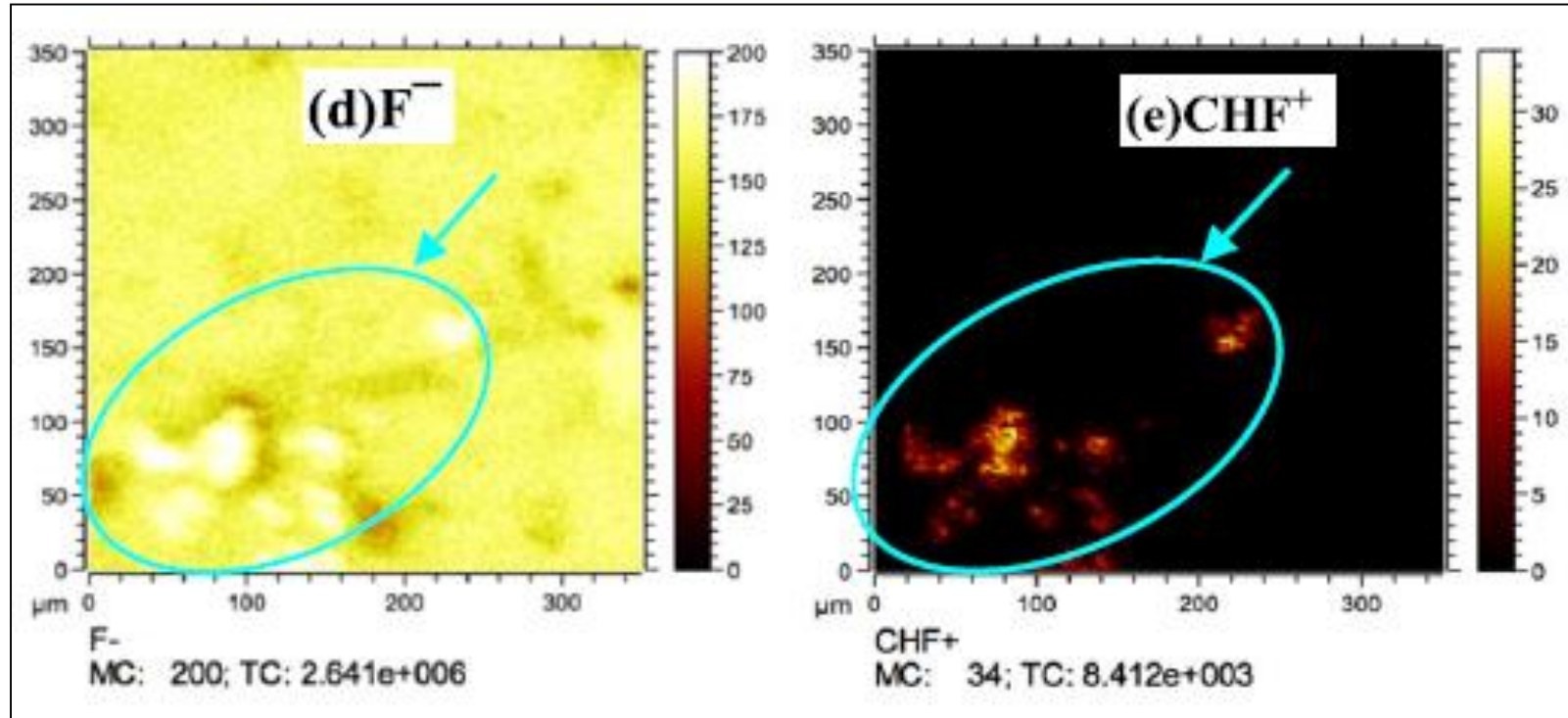
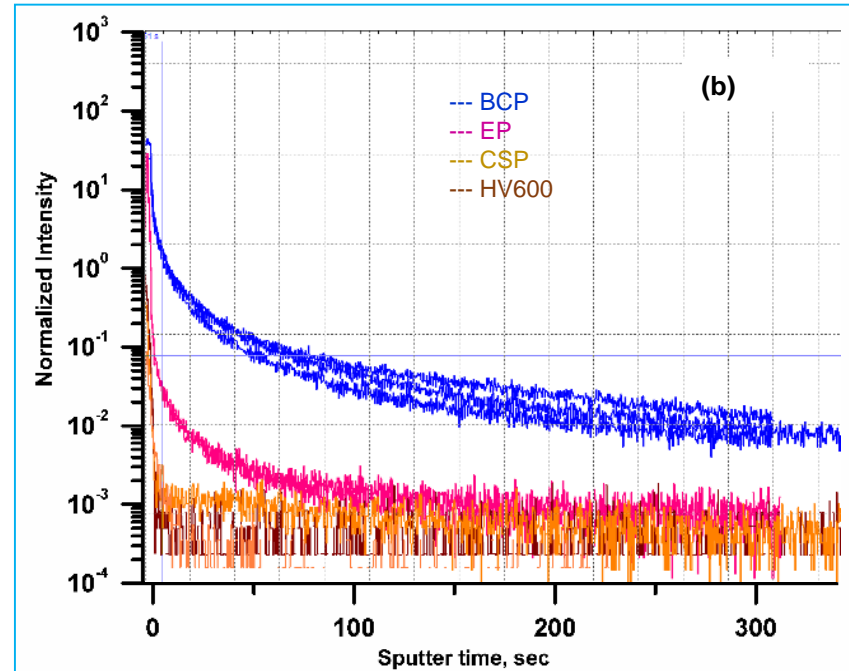
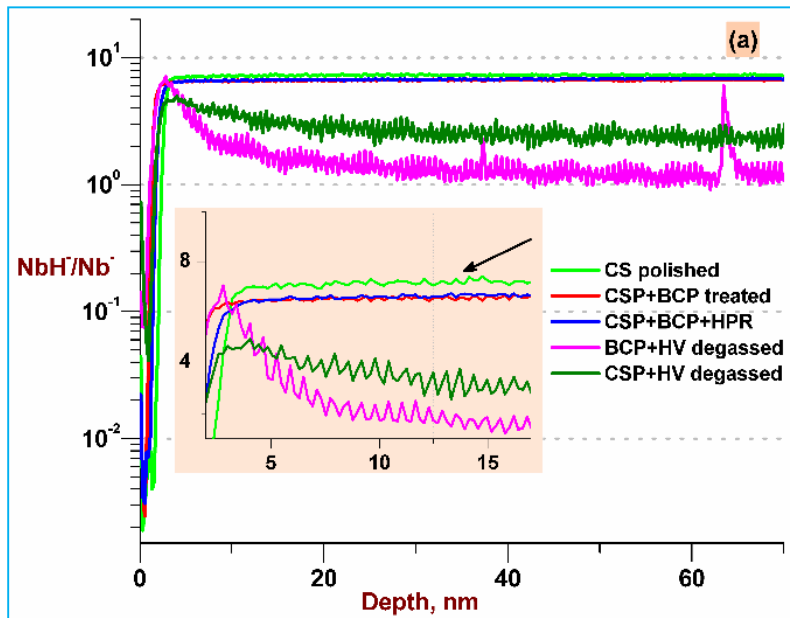


Figure shows the importance of ultrasonic rinsing after chemical cleaning

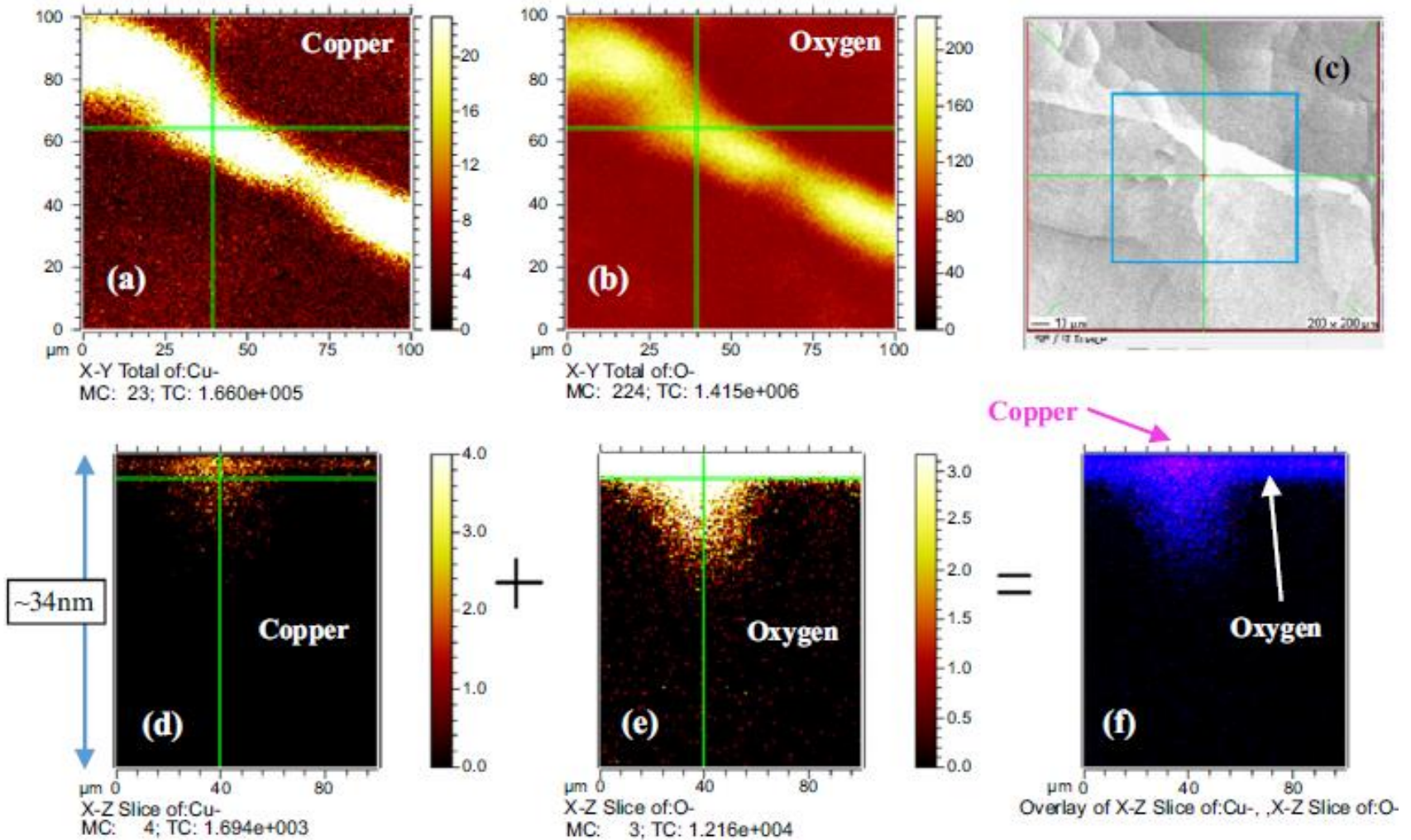
Processing related impurities – Depth profile of Hydrogen & Fluorine



Variation of (a) Hydrogen, and (b) Fluorine with depth after various processing

CS Polish – Colloidal silica polishing
BCP – Buffer chemical polishing
HPR – High pressure rinse
HV – High vacuum 600 C degassing

Processing related impurities – 2d & 3D ion imaging - Effect of HPR



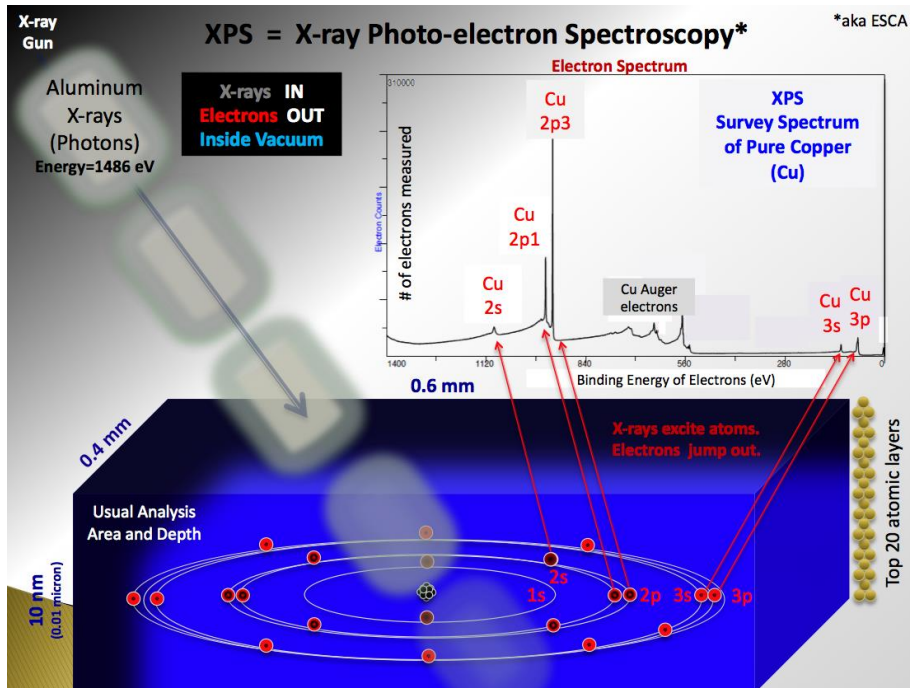
Ref – A. Bose, S. C. Joshi – SST Journal, July 2015

X-ray photo electron spectroscopy (XPS)

Advantages

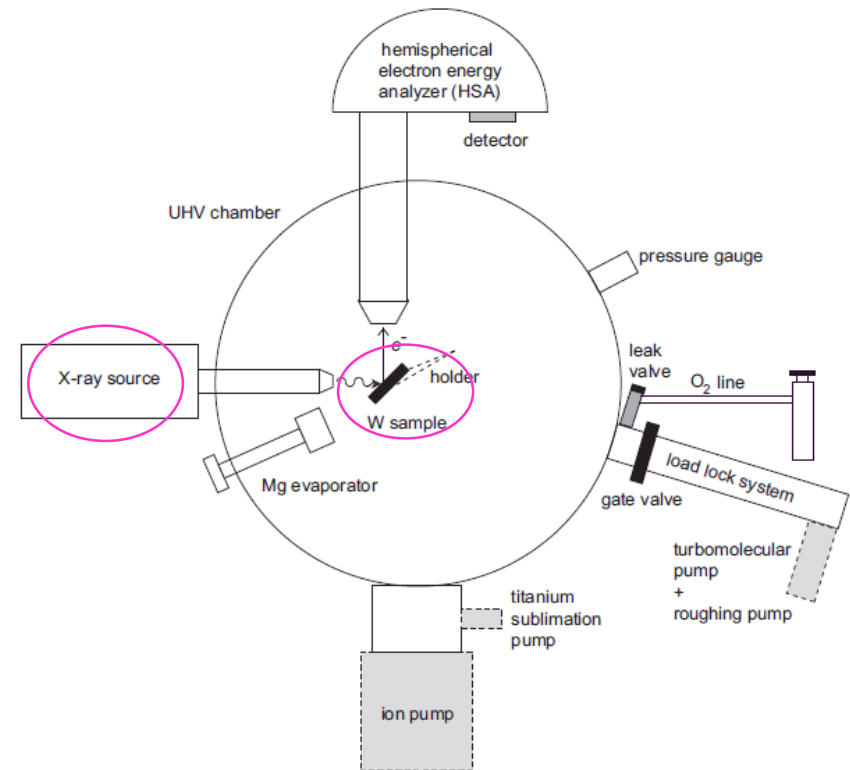
- All elements except H, He can be detected.
- Chemical state identification.
- Compositional analysis.

$$E_K = h\nu - E_B - \phi$$

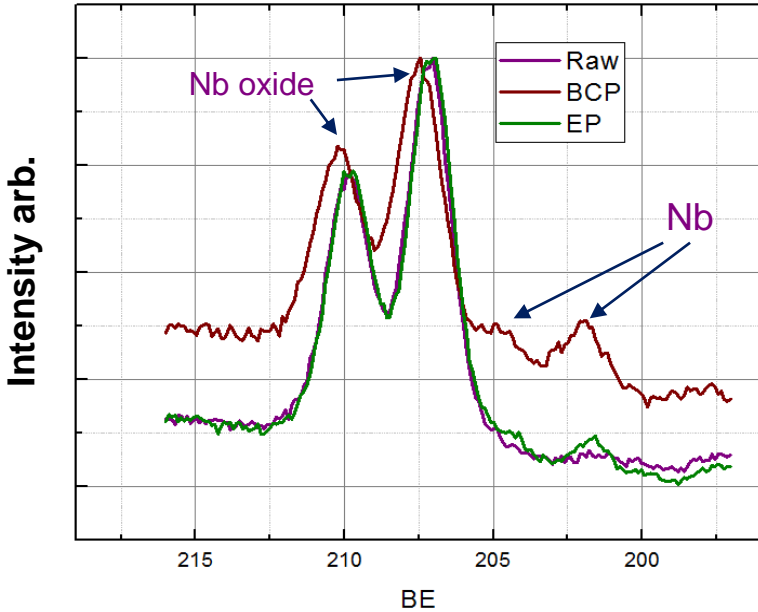
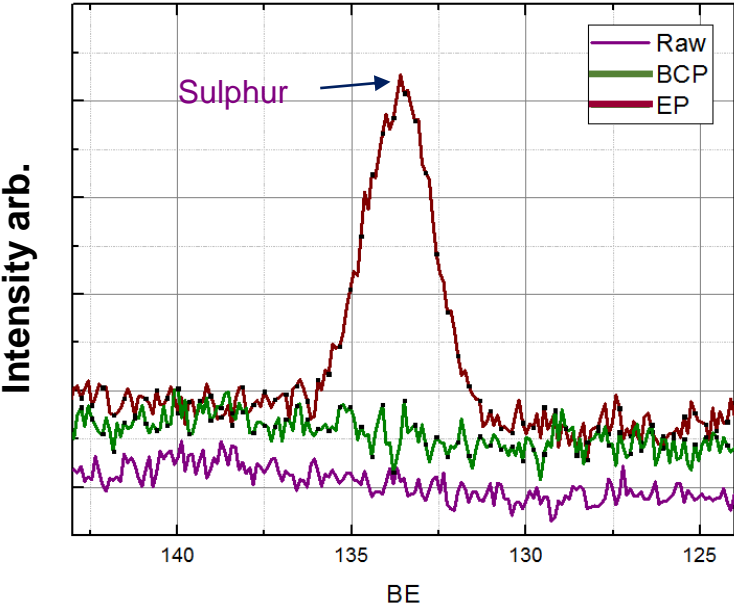


Purpose

- Nb – oxide layer analysis
- Surface residue/ contamination analysis
- Compositional analysis upto ~10nm



Processing related impurities – Surface contamination & oxide layer



Effect of various processing conditions on Sulphur and thickness of oxide layer

Surface analysis

- **Compositional Depth profiling**
 - Secondary ion mass spectrometry – Lateral and 3D distribution of elements
 - X-ray photo electron spectroscopy – Oxidation state and Compositional analysis
 - ***Auger electron spectroscopy – Compositional analysis***
 - ***Elastic recoil detection analysis – Light element distribution on surface***
 - ***Total reflection X-ray fluorescence (TXRF) - Compositional analysis***

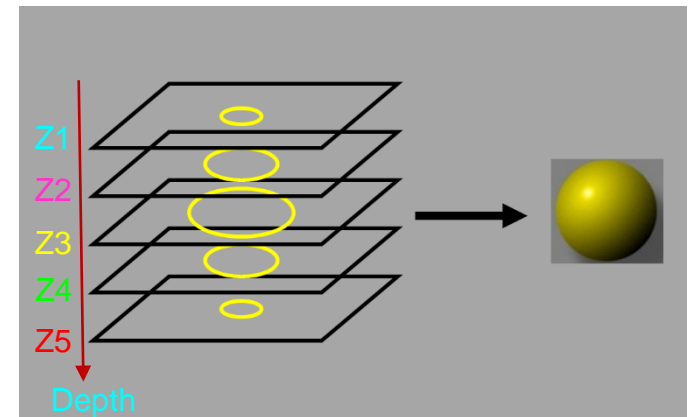
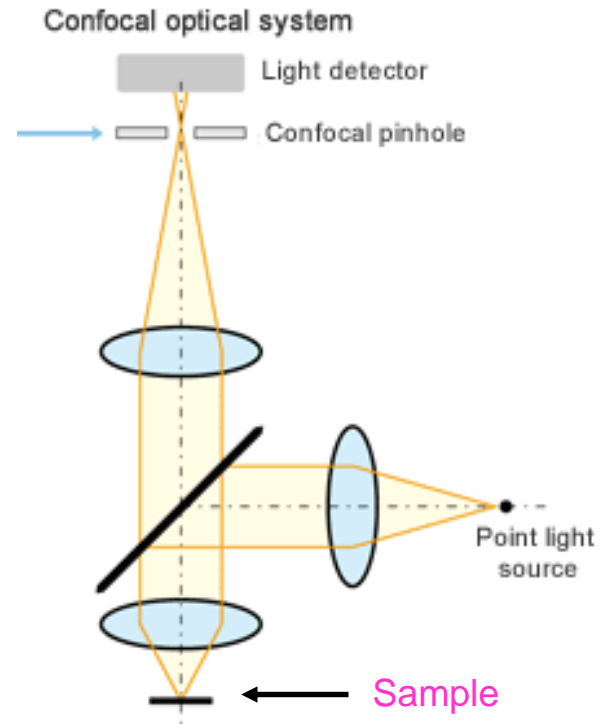
Topographical and microstructural defects

- Laser scanning confocal microscopy (LSCM)
- Scanning and transmission electron microscopy (SEM & TEM)

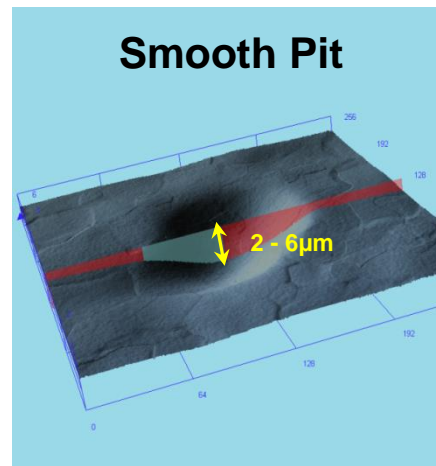
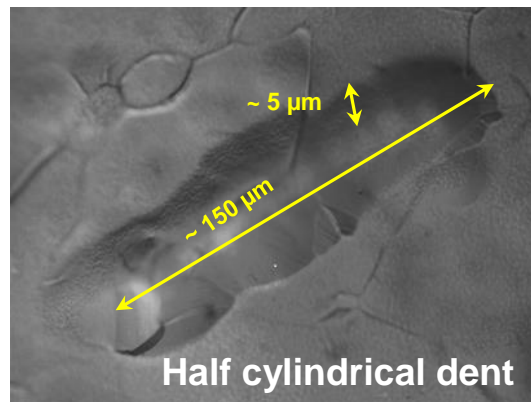
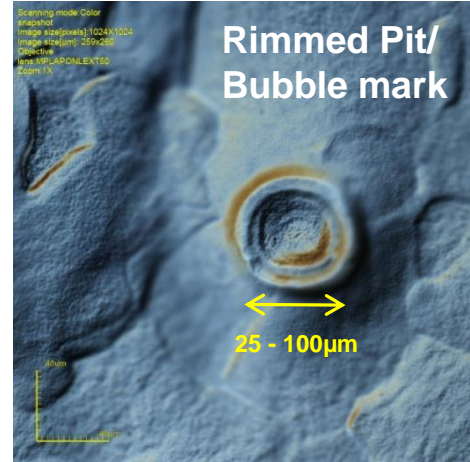
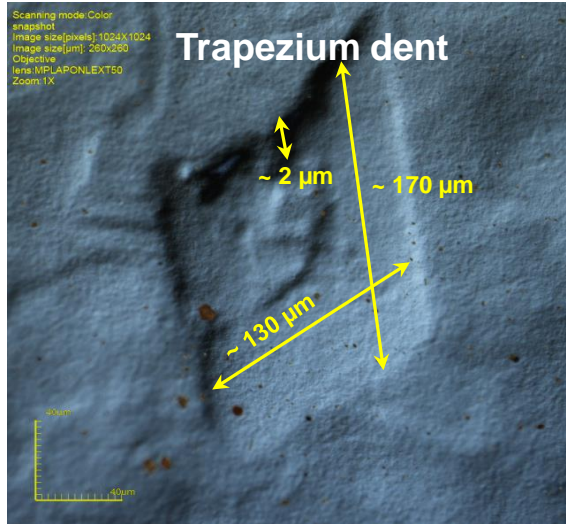
Laser scanning confocal microscopy (LSCM)

Purpose

- Roughness estimation after chemical, mechanical and electropolishing treatments
- 3D imaging of topographical defects arising from processing treatments
- EBW bead imaging using replica technique
- It has all the features of optical microscope too.



Few defects from EP treated samples



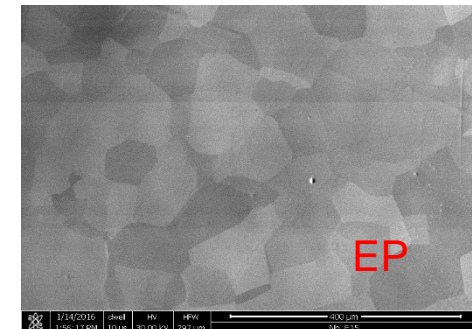
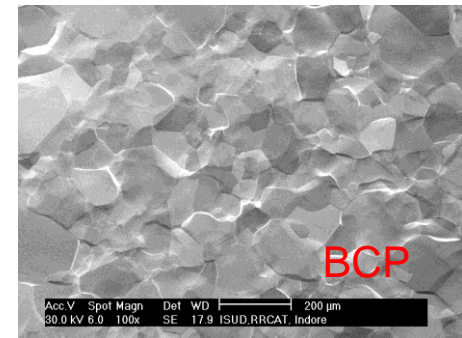
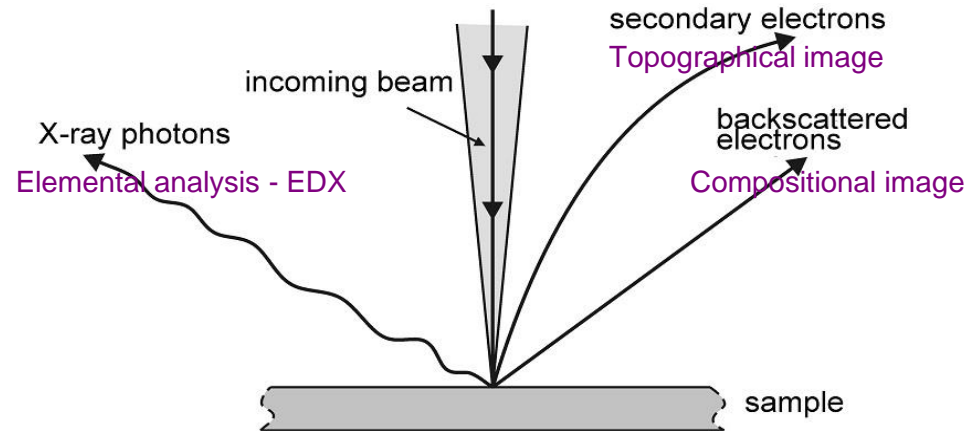
Scanning electron microscopy (SEM)

Purpose

- General image with high depth of field (3D effect)
- Extremely useful for small foreign particle analysis like field emitters
 - Shape
 - size
 - Composition
- Electron back scatter diffraction
 - For crystallographic texture
 - Dislocation density

Advantages

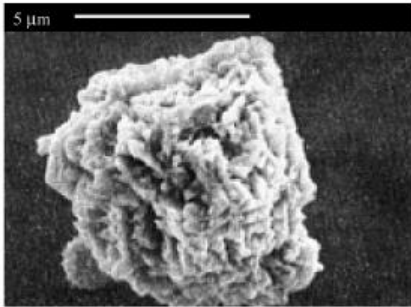
- Features as small as $\sim 5\text{nm}$ can be imaged
- Compositional image resolution $\sim 1\mu\text{m}$



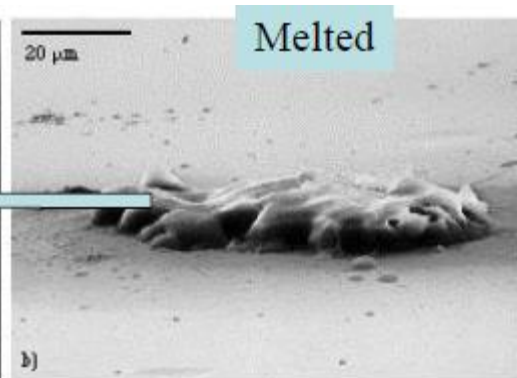
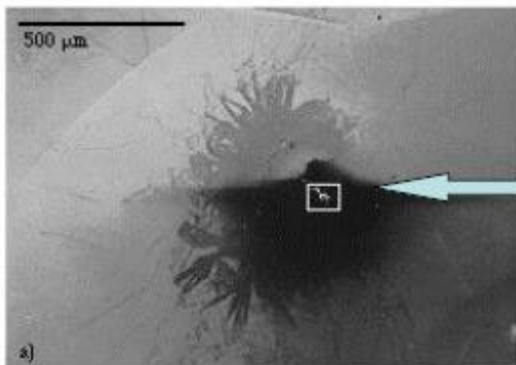
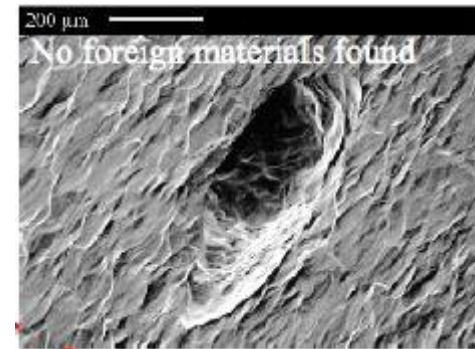
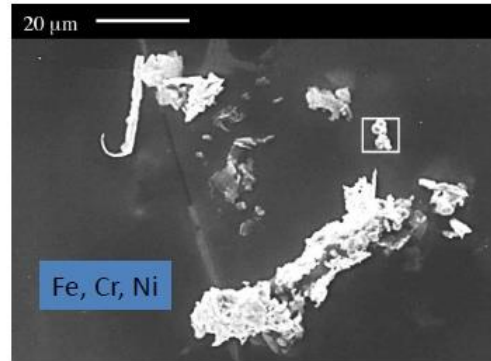
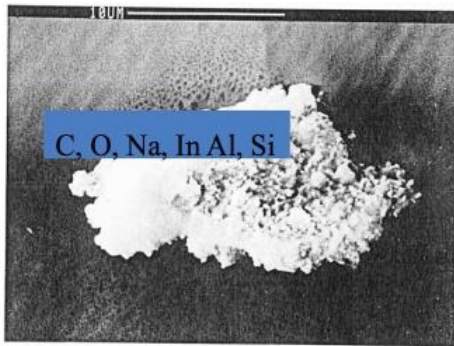
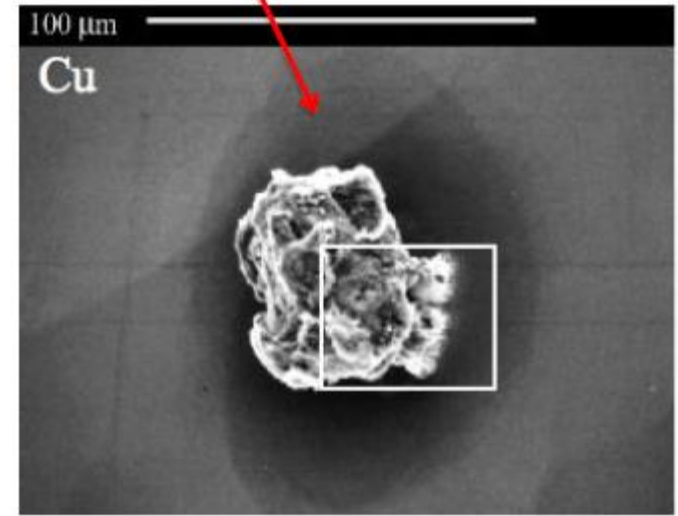
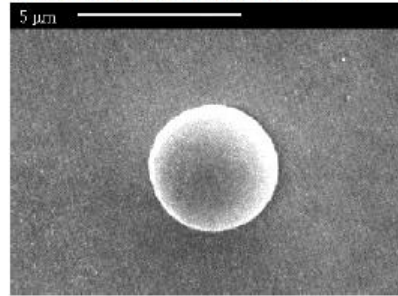
Field emitters

Normal defects- quench cavities

Ni



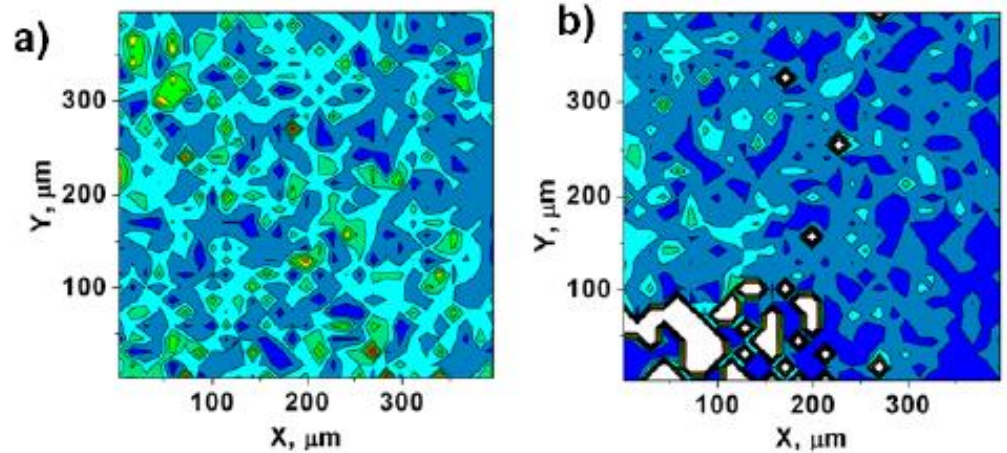
Smooth nickel particles emit less or emit at higher fields.



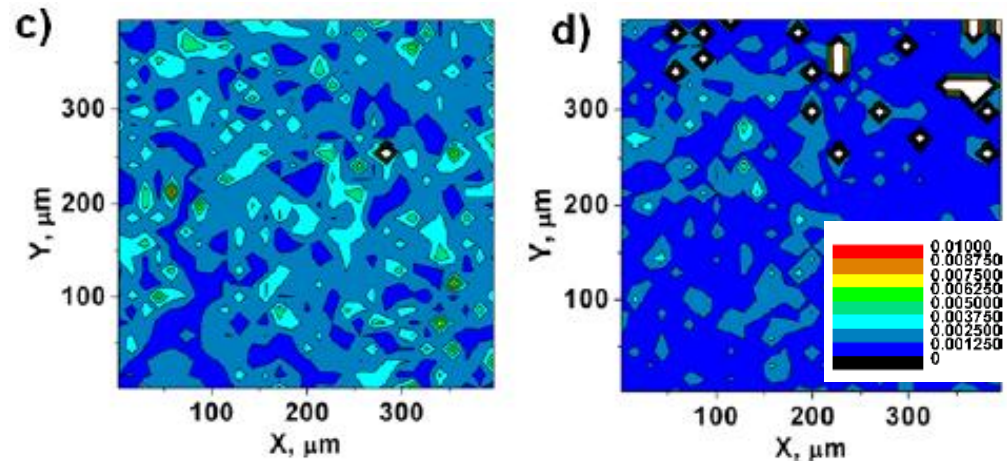
EBSD of hot and cold spots – Mapping Dislocation density



Samples cut out from processed and tested cavity



Dislocation density images of **Hot spot samples** (a) Before bake, (b) After bake



Dislocation density images of **Cold spot samples** (c) Before bake, (d) After bake

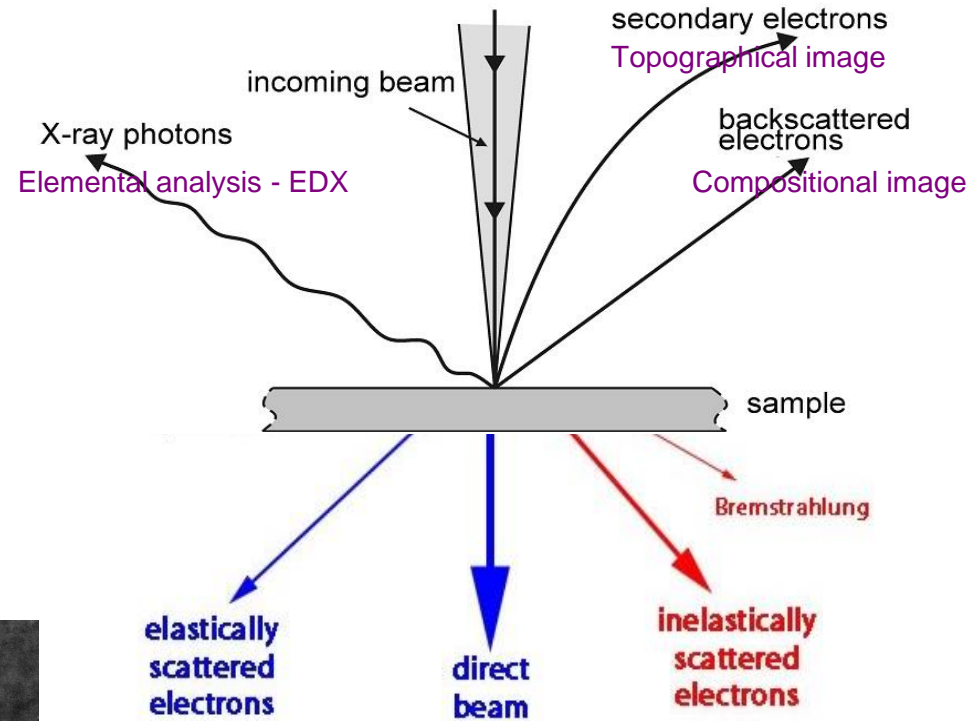
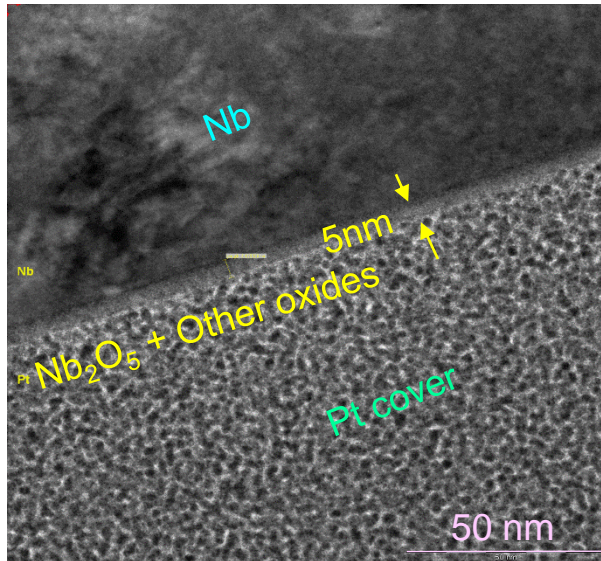
Transmission electron Microscopy (TEM)

Purpose

- Imaging of defects like dislocations
- Oxide thickness measurement
- Imaging and mapping of hydride precipitates

Advantages

- Features as small as $\sim 0.12\text{nm}$ can be resolved in HR TEM mode
- Crystal structure of phases can be detected



modified from Williams & Carter (1996) Fig. 1.3

Topographical and microstructural defects – Other relevant techniques

- Defects and microstructure – requires proper lateral resolution (XY)
 - Optical Microscopy (XY resolution > 200nm)
 - Scanning electron microscopy (XY resolution > 1nm)
 - **Visual inspection (XY resolution > 100 micron)**
 - **Radiography, ultrasonic and eddy current testing**
- Roughness and topography – requires lateral and vertical resolution
 - 3 D Laser scanning confocal microscopy (Z resolution >1nm, XY resolution ~ 120nm)
 - **Stylus (Z resolution >0.1nm, XY resolution ~ 1nm)**
 - **Atomic force microscopy (Z resolution ~1nm, XY resolution > 1nm)**
 - **White light interferometry (Z resolution ~20nm, XY resolution > 120nm)**
- Crystal structure
 - Transmission electron microscopy (Nano-size defect identification)
 - **X-ray diffraction (Crystal structure, Phase identification, Strain)**

Raw material Analysis for Nb

- Residual resistivity measurement (RRR) – For indirect measurement of purity/ thermal conductivity
- Bulk impurity analysis
- Mechanical property testing
- Microstructural characterization

Residual resistivity ratio (RRR)

Typical RRR level required for cavity applications > 300

Measurement Technique: 4-point probe method $RRR = \frac{\rho_{300K}}{\rho_{10K}}$

Higher RRR signifies

- Higher purity in the material
- Lower Density of defects like grain boundaries, dislocations, vacancies etc.

Purpose of high RRR Nb

- High thermal conductivity
- Better cavity performance
- Easy fabricability
- Lower annealing temperature

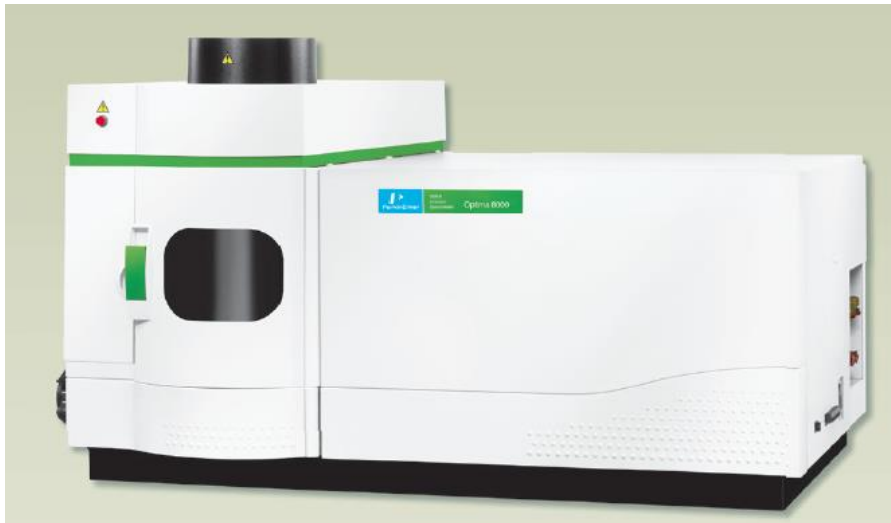
Bulk impurity analysis

Typical chemical composition of Nb for cavity applications

Chemical Composition (Wt.ppm)												
I: Ingot Analysis P: Product Analysis												
Content	Ta	W	Ti	Fe	Si	Mo	Ni	Any other (Individually)	H	N	O	C
guaranteed	≤500	≤70	≤40	≤30	≤30	≤50	≤30	≤30	≤3	≤20	≤40	≤20
Result (I)	140	11	<5	5	10	<10	<5	<30	2	7	8	5

Preferred Analysis Instrument

Inductively Coupled Plasma- Optical Emission Spectroscopy (ICP-OES)



Preferred Analysis Instrument

Inert gas fusion (IGF)



Analysis Principle

ICP - OES

- the sample in liquid form is nebulized through hot plasma which that excites the electrons of samples to higher levels.
- These electrons during de-excitation releases photon of wavelength specific to the elements present. These are analyzed and its intensity measured to quantify the elements.

IGF

- Samples are heated in graphite crucibles to extremely high temperature that releases the gases.
- These gases are carried to separate chambers where they are quantified based on thermal conductivity measurements and/or infra red absorbance measurements.

Advantages

- Detection limits – upto parts per billion
- Multielement analysis in the same sample.
- Minimum chemical and matrix interferences.

- Detection limits – upto parts per million
- Specifically addresses interstitial elements
- Minimum chemical and matrix interferences.

Other relevant techniques - Bulk elemental analysis

- **Major and Minor elemental analysis** (Major > 10wt%; Minor: 0.1 - 10 wt%)
 - X-ray fluorescence (XRF)
 - Energy dispersive x-ray (EDX) analysis
 - Optical Emission Spectrometry (OES)
 - Spark source mass spectrometry (SSMS)
 - Etc.
- **Trace and Ultra trace elemental analysis** (Trace: 0.001wt% - 0.1 wt%; Ultra trace < 0.001wt%)
 - Secondary ion mass spectrometry (SIMS)
 - Glow discharge mass spectrometry (GDMS)
 - Total reflection X-ray fluorescence (TXRF)
 - etc.

Mechanical property & Microstructural analysis

Typical mechanical and microstructural properties of Nb for cavity applications

Properties	Yield strength	Tensile strength	Elongation	Hardness, Hv	Grain size (ASTM)
ASTM B393	> 50 MPa	> 95 MPa	30%	60 - 75	5; none <4

Instrument

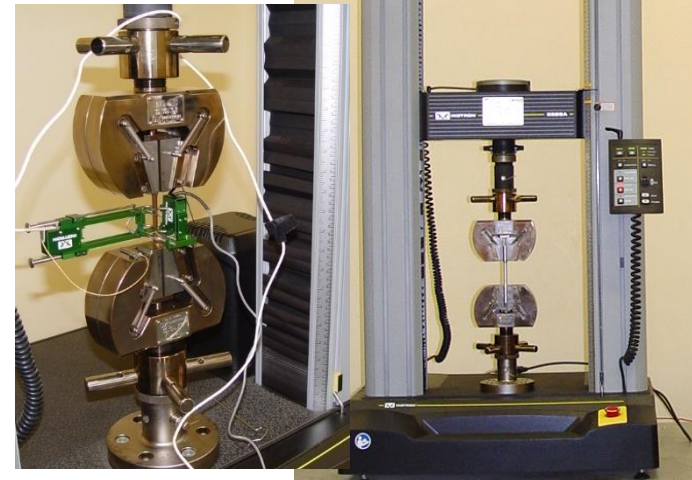
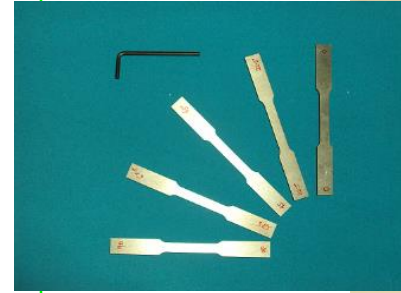
Universal testing machine (UTM)

Technique

- Prepare dogbone shaped samples as per ASTM E8 standards
- Place the samples within the grips as shown along with extensometers.
- Pull the samples at a specified rate of < 0.004 mm-/mm-min upto YS and < 0.04 mm/mm-min beyond YS.

Other measurements of relevance

- Strain hardening exponent $n = \frac{d(\log \sigma)}{d(\log \varepsilon)}$
- Plastic strain ratio $R = \frac{\varepsilon_w}{\varepsilon_t}$



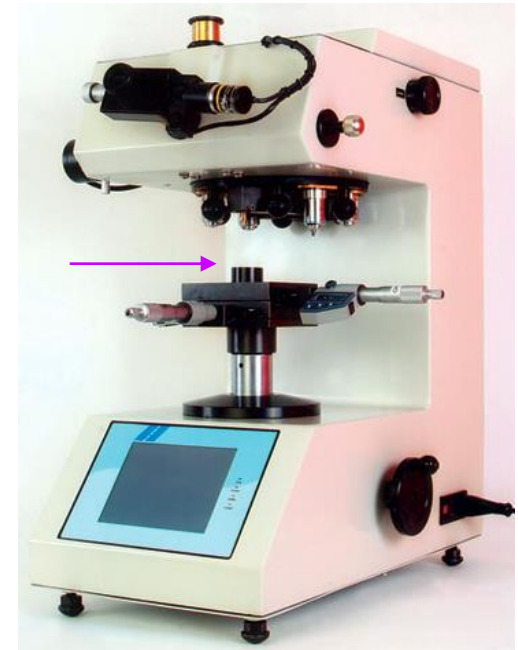
Hardness Measurement

Instrument for Hardness measurement

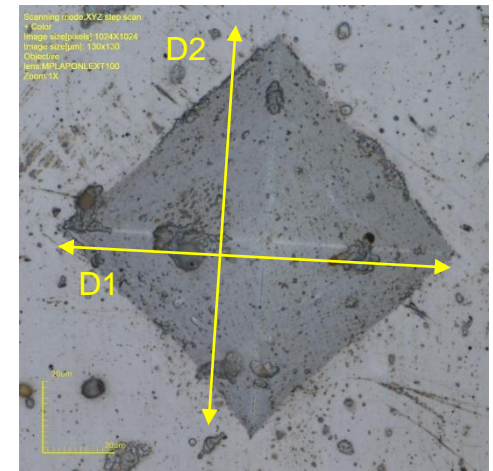
Vickers Hardness Tester

Technique

- Prepare mirror finish samples and testing as per ASTM E92 standards
- Place the samples as shown in photo and make an indentation on sample with a specified load (< 100gms)
- Measure the diagonals of the indentation and calculate hardness as per the formula



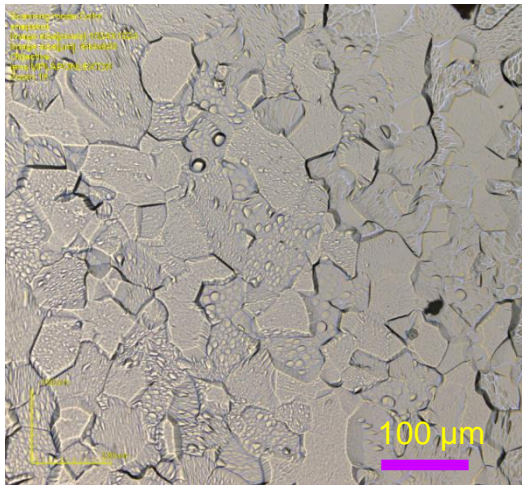
$$HV = 1.854 \frac{P}{d^2}$$



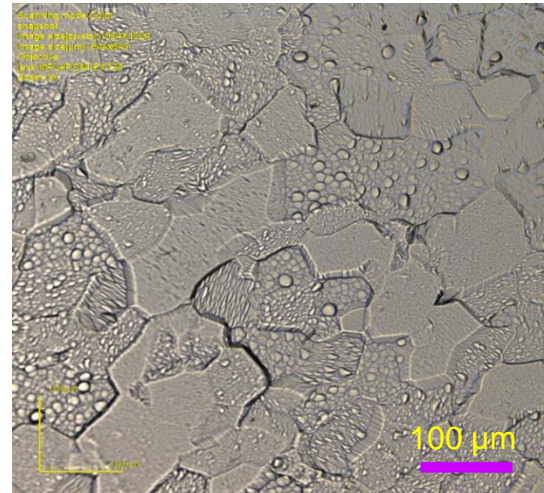
Microstructural characterization

Process requires a proper metallography set up that includes

- Cut samples
- Mounting
- Polishing
- Etching
- Optical microscope

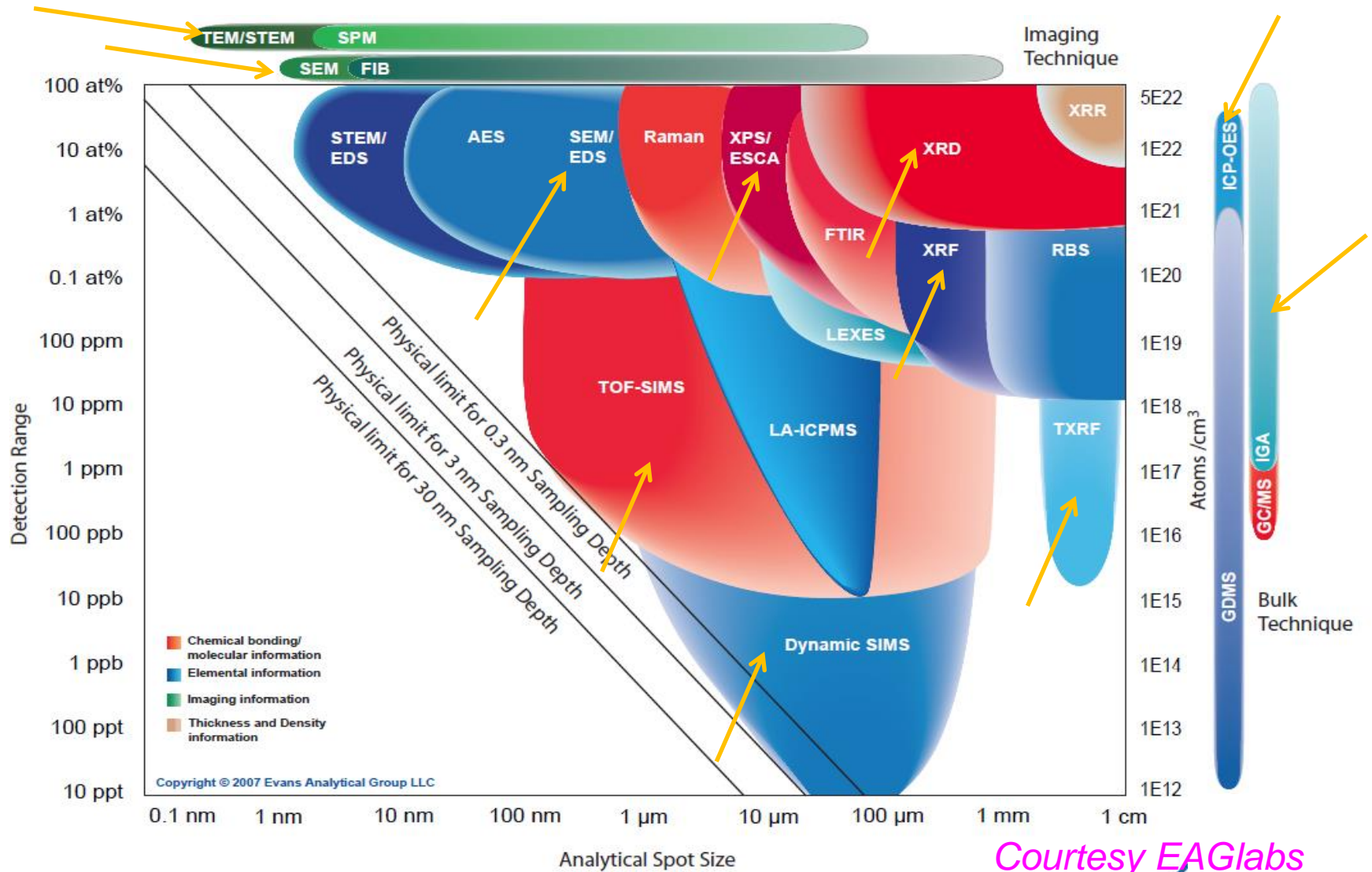


ASTM Size - 5



ASTM Size ~ 4

Comparison of all the major characterization techniques



Courtesy EAGlabs

Thank you.